

2023 Client Fee Changes

Changes to Fees Your Clients Pay to NFS or Pershing

Custodian	Fee Description	Current	2023
NFS	Advisor DBA Logos on Statements	\$350.00	\$0.00
NFS	Account Low Balance (Statement Paper Fee)	\$0.00	\$10/year for accounts < \$100 and not enrolled in e-delivery statements; charged in June
NFS	Accommodation Transfer/Transfer and Ship Certificate	\$60.00	\$0.00
NFS	Alt. Investment—Registered Products (per year)	\$40.00	\$35.00
NFS	Alt. Investment—Transfer/Re-Registration	\$60.00	\$50.00
NFS	Certificate Safekeeping (per month)	\$0.00	\$5.00
NFS	Check Stop Payment Fee	\$25.00	\$15.00
NFS	Confirmation Reprint/Copy Fee	\$5.00	\$0.00
NFS	Insufficient Funds (Return Check/ACH Debit)	\$35.00	\$15.00
NFS	Legal Transfer	\$60.00	\$0.00
NFS	Margin/Regulation T Extension	\$25.00	\$12.00
NFS	Options Assignment	\$25.00	\$15.00
NFS	Outgoing Wire Transfer Fee	\$25.00	\$15.00
NFS	Physical Reorganization	\$20.00	\$0.00
NFS	Overnight Delivery of Check	\$20.00	\$12.00
NFS	Overnight Delivery of Check - Saturday	\$40.00	\$12.00
NFS	Statement Copy Fee	\$5.00	\$0.00
NFS	Third Party Check	\$15.00	\$0.00
NFS	UBTI Tax Return Filing (IRA Form 990-T) (per year)	\$300.00	\$75.00
NFS	Premier Access with ACH, Bill Pay, Checking, Rewards Debit Card (per year)	\$120.00	\$100.00
NFS	Select Access with ACH and Bill Pay	\$10.00	\$0.00
NFS	Select Access Checking Upgrade	\$5.00	\$0.00
NFS	Select Access Debit Card Addition (per year)	\$5.00	\$25.00
NFS	IRA Maintenance Fee (per year) – Investment Advisory Accounts	\$35.00; waived for accounts > \$100,000 (waiver not available for FAP accounts)	\$35.00; waived for WMP wrap accounts, including former FAP, regardless of account size (no longer waived for AMP unwrapped accounts)

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Custodian	Fee Description	Current	2023
Pershing	Advisor DBA Logos on Statements	\$350.00	\$0.00
Pershing	Accommodation Transfer	\$60.00	\$0.00
Pershing	Account Low Balance (Statement Paper Fee)	\$0.00	\$10/year for accounts < \$100 and not enrolled in e-delivery statements; charged in June
Pershing	Check Stop Payment Fee	\$25.00	\$10.00
Pershing	Document Copy Fee	\$5.00	\$0.00
Pershing	Equity Dividend Reinvestment	\$1.00	Waived for investment advisory wrap accounts
Pershing	Insufficient Funds (Return Check/ACH Debit)	\$30.00	\$20.00
Pershing	Legal, GNMA, and Restricted Transfer	\$60.00	\$0.00
Pershing	Reorganization - Mandatory	\$20.00	\$10.00
Pershing	Reorganization - Voluntary	\$20.00	\$50.00
Pershing	Overnight Delivery of Check	\$20.00	\$12.00
Pershing	Overnight Delivery of Check - Saturday (if available)	\$40.00	\$12.00
Pershing	Third Party Check	\$15.00	\$0.00
Pershing	Corestone Checking Silver Account (per year)	\$25.00	\$0.00

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