



Triad Hybrid Solutions
Confidence Wherever You Grow.

Member of Osaic

BUSINESS CONTINUITY DISCLOSURE STATEMENT

Triad Hybrid Solutions (“THS” or the “Firm”) is providing you with this document to inform you of its ability to respond to certain business disruptions at your financial professional’s local office or at the main office of THS. Your financial professional is associated with THS and, as a result, all transactions that you place through him or her are processed through THS systems. THS ability to respond to business disruptions is therefore important to your relationship with your financial professional.

In general, THS maintains a business continuity plan and intends to continue business in the event of a significant business disruption. THS is a registered investment adviser. THS relies on its affiliate, Triad Advisors (“Triad”), to serve as an introducing broker-dealer and, as such, it relies on Triad’s correspondent-clearing firm to perform certain functions on its behalf, including the execution of securities transactions and the maintenance of customer funds and securities. Accordingly, the Firm’s ability to respond to certain business disruptions is partially dependent on our clearing firm.

In the event of a significant business disruption at either the local or main office, THS may route calls to an office location in a separate geographic location and, if necessary, transfer operations to a facility maintained by a third-party vendor. Although THS intends to continue business in the event of a significant business disruption, there are some disruptions that may render the Firm or your financial professional unable to continue business. Under such circumstances, THS will ensure that clients will be able to access their funds and securities within a reasonable time.

To provide clients with up-to-date information during a significant business disruption to your financial professional’s local office or the main office of THS, clients may call the Firm’s emergency telephone number at 800-720-4003 or visit our emergency information webpage at <https://www.triadadvisors.com/triad-hybrid-solutions>.

I. THS’s Response to Events of Varying Scope

The following sections describe specific events and THS corresponding general response to those events. Clients, however, should note that these responses are subject to modification and, depending on the severity of a specific event, THS cannot guarantee that it will be able to follow the stated course of action. If these responses are modified, THS will post the updated disclosure statement on its website. In the alternative, you may request from your financial professional that the Firm send you, by mail, a copy of the updated disclosure statement.

Under the following scenarios, THS intends to continue its business:

A Disruption to a Single Building or Firm-Only Business Disruption

These disruptions may be caused by physical damage, technology problems, or an inability to have personnel arrive at an office. In the event that there is a significant business disruption to a single building or the Firm’s

internal primary systems, THS may, if necessary, transfer its operations or route incoming calls to another office in a separate geographic location. From this separate location, THS will accept client orders. In this process, clients may experience a minor delay in reaching the Firm due to increased client calls, technology delays, or other minor difficulties arising from the transfer of operations. THS expects that operations could be disrupted for up to one (1) hour. If THS's trading platform is affected, there may be a more significant delay. THS, however, will be able to place orders outside the trading platform.

A Business-District, Citywide, or Regional Disruption

In the event that there is a significant business disruption that affects the business district, city, or region where any of the Firm's primary systems are located, THS may, if necessary, transfer its operations or route incoming calls to another office in a separate geographic location. In this process, clients may experience a minor delay in reaching the firm due to increased client calls, technology delays, or other minor difficulties arising from the transfer of operations. If the disruption is significant, THS may transfer its operations to a third-party service provider. In addition, if our clearing firm is affected by the business-district, citywide, or regional disruption, clients may experience additional delays. Nevertheless, THS expects that operations could be disrupted for up to four (4) hours.

II. Our Clearing Firm may be contacted in Limited Situations

In the unlikely event that THS is unable to continue business, clients may directly contact National Financial Services LLC (NFS), the following contact information applies:

To process limited (sell only) trade related transactions, cash disbursements, and security transfers, please call the NFS Customer Service Line at 800-801-9942.

III. Variable Product Holdings and Certain Mutual Fund Holdings

The Firm does not place its variable annuity transactions through its clearing firm; rather, transactions are placed directly through the variable annuity provider. Accordingly, in the unlikely event that THS is unable to continue business, clients may directly contact their variable annuity provider, as indicated on their periodic account statements, to process limited trade-related transactions. Certain mutual fund transactions also may not be placed through our clearing firm. If this is the case, in the unlikely event that THS is unable to continue business, clients may directly contact their mutual fund provider, as indicated on their periodic account statements, to process limited trade-related transactions. Please contact your representative to determine whether your mutual fund transactions are placed through our clearing firm or directly through a mutual fund provider.