

DIRECTCHOICE CLIENT FEE SCHEDULE

REQUIRED ACCOUNT FEES—PERSHING AND NFS

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|--|------------------|
| Annual Maintenance Fee (IRA) | \$0 |
| Annual Inactivity Fee (non IRA) | \$0 |
| Participating Fund Buy / Sell | \$0 |
| Participating Fund Systematic / Exchange | \$0 |
| Paper Trade Confirm Mailing | \$0 |
| Paper Statement Mailing | \$0 |
| Prospectus Mail | \$0 |
| Termination of DirectChoice Account (non-IRA termination/non-IRA outgoing transfer—ACAT) | \$0/\$150 |
| Termination of DirectChoice Account (IRA termination) (for Pershing/NFS) | \$95.00/\$125.00 |

MUTUAL FUND SPONSORS—PERSHING AND NFS

As of January 2023

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|--------------------|--------------|--------------|-----------------|
| AB | Invesco | Mainstay | Victory Capital |
| American Funds | John Hancock | Pacific Life | Virtus |
| Amundi Pioneer | Ladenburg | Principal | |
| Fidelity | Legg Mason | Putnam | |
| Franklin Templeton | Lord Abbett | Thrivent | |

Other fees could apply based on account activity and optional features. Please refer to the full Client Brokerage Fee Disclosure listed within the Disclosures section of the firm's public website.

DirectChoice

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